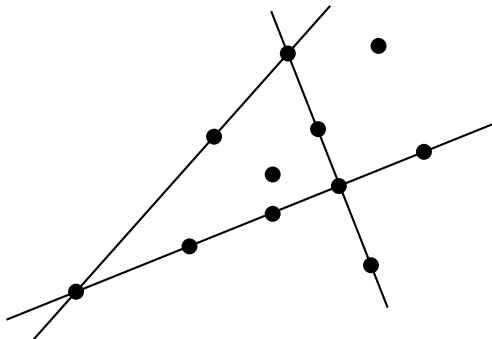


# On Maximum-Sized Golden-Mean Matroids



# An Introduction to Matroids

Matroids are combinatorial objects that generalise the notion of dependence (found in geometry, linear algebra, graph theory and others).

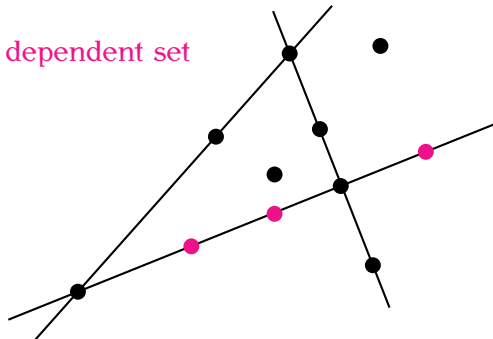


Let  $E$  be a set of finite points in the plane. A set of points is ***dependent*** if any non-trivial dependencies exist between the points. Otherwise it is ***independent***.

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A dependent set

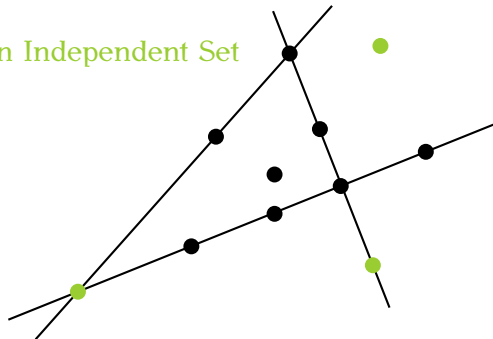


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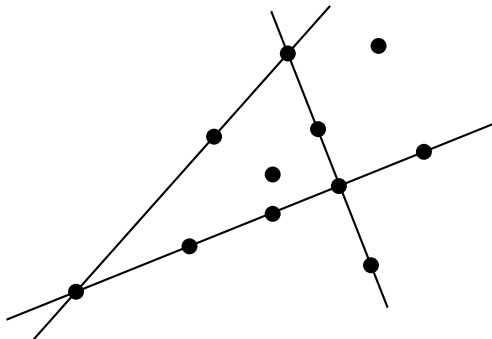
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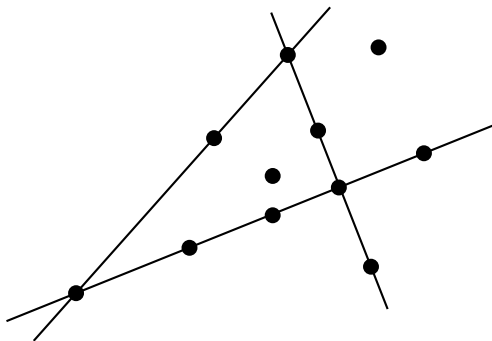
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This generalises to higher dimensions easily. In  $k$  dimensions, a set of  $k + 1$  points is basis if it is not contained in a  $k$ -hyperplane.

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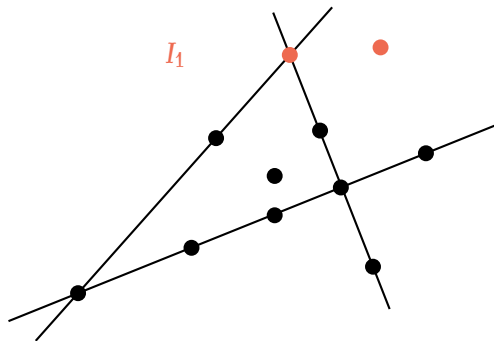
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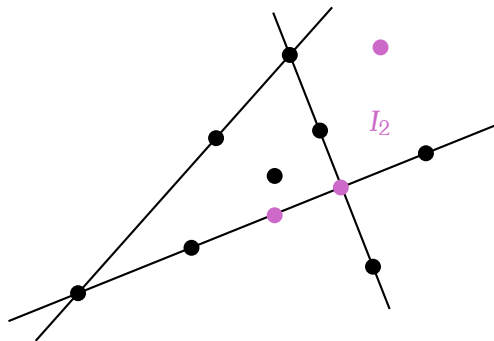
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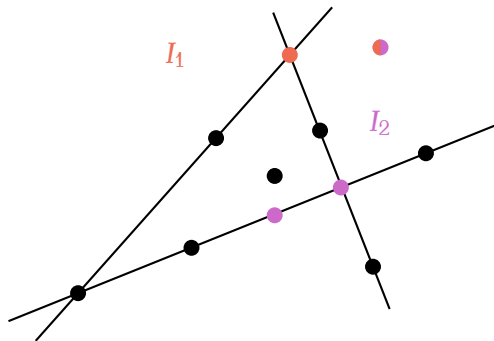


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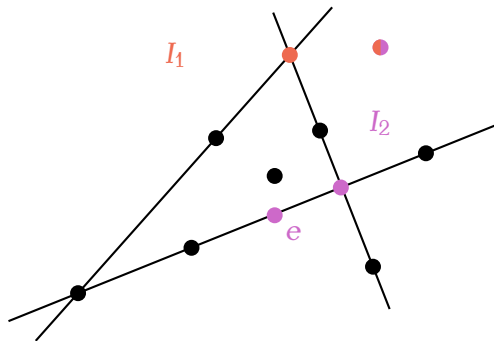
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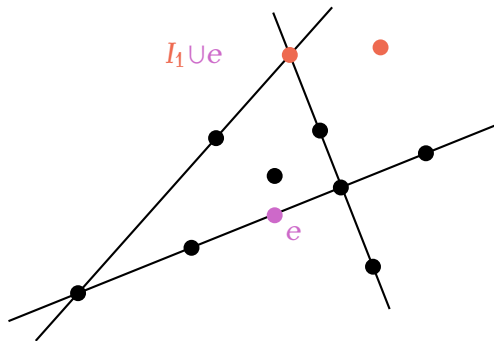
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# An Introduction to Matroids — Definition

## Definition

A **matroid**  $M = (E, \mathcal{I})$  consists of a finite set,  $E$ , and a family,  $\mathcal{I}$ , of subsets of  $E$ , satisfying:

- $\emptyset \in \mathcal{I}$ .
- If  $I \in \mathcal{I}$  and  $I' \subseteq I$ , then  $I' \in \mathcal{I}$ .
- If  $I_1$  and  $I_2$  are in  $\mathcal{I}$  and  $|I_1| < |I_2|$ , then there is an element  $e$  of  $I_2 - I_1$  such that  $I_1 \cup e \in \mathcal{I}$ .

The size of the biggest member of  $\mathcal{I}$  is called the **rank** of  $M$ , denoted  $r(M)$ .

# Representable Matroids

- Matroids also generalise linear independence.
- Let  $A$  be a matrix over a field  $\mathbb{F}$ . Then  $M(A) = (E, \mathcal{I})$  is a matroid, where  $E$  is the set of all columns of  $A$  and  $\mathcal{I}$  consists of linearly independent subsets of columns of  $A$ .

A matrix over  $GF(2)$

$$\begin{array}{cccc} & a & b & c & d \\ \left[ \begin{array}{cccc} 1 & 1 & 0 & 0 \\ 1 & 1 & 1 & 0 \end{array} \right] \end{array}$$

$$\begin{aligned} E &= \{a, b, c, d\} \\ \mathcal{I} &= \{\emptyset, \{a\}, \{b\}, \{c\}, \\ &\quad \{a, c\}, \{b, c\}\} \end{aligned}$$

# Maximum-Sized

## Definition

Let  $\mathcal{M}$  be a class of matroids. A rank- $r$  matroid  $M$  is said to be **maximum-sized** in  $\mathcal{M}$  if  $\forall N \in \mathcal{M}$  such that  $r(N) = r$ , then  $|M| \geq |N|$ .

## Definition

Let  $\mathcal{M}$  be a class of matroids. The **growth-rate function** of  $\mathcal{M}$  is the function that defines the number of elements in a maximum-sized matroid of  $\mathcal{M}$ .

# $GF(3)$ -representable matroids

## Theorem (Whittle, 1997)

*Let  $\mathcal{F}$  be a set of fields containing  $GF(3)$ , and let  $\mathcal{M}$  be the class of matroids representable over all fields in  $\mathcal{F}$ . Then for some  $q \in \{2, 3, 4, 5, 7, 8\}$ ,  $\mathcal{M}$  is the class of matroids is the class of matroids representable over  $GF(3)$  and  $GF(q)$ .*

# Historical Results

Maximum-sized results exist for all of Whittle's  $GF(3)$ -classes of matroids:

- $GF(q)$ -representable matroids for each prime power  $q$ , in particular  $q = 3$ .
- Matroids that are representable over  $GF(3)$  and  $GF(2)$ .
- Matroids that are representable over  $GF(3)$  and  $GF(5)$ .
- Matroids that are representable over  $GF(3)$  and  $GF(4)$ .
- Matroids that are representable over  $GF(3)$  and  $GF(8)$ .
- Matroids that are representable over  $GF(3)$  and  $GF(7)$ .



# $GF(q)$ -representable matroids

## Theorem

*The matroid  $PG(r - 1, q)$  is the maximum-sized matroid of rank- $r$  in the class of  $GF(q)$ -representable matroids. The growth-rate function of the class of  $GF(q)$ -representable matroids is  $\frac{q^r - 1}{q - 1}$ .*

# Regular matroids

## Definition

A matroid is **regular** if it is representable over  $GF(3)$  and  $GF(2)$ .

## Theorem (Heller, 1957)

*The maximum-sized regular matroid of rank  $r$  is  $M(K_{r+1})$ .  
The growth-rate function of regular matroids is  $\binom{r+1}{2}$ .*

# Dyadic matroids

## Definition

A matroid is **dyadic** if it is representable over  $GF(3)$  and  $GF(5)$ .

## Theorem (Kung and Oxley, 1988-90)

*The maximum-sized dyadic matroid of rank  $r$  is  $Q_r(GF(3)^*)$ , the rank- $r$  ternary Dowling geometry. The growth-rate function of dyadic matroids is  $r^2$ .*

# Near-regular and $\sqrt[6]{1}$ matroids

## Definition

A matroid is **sixth-root-of-unity** if it is representable over  $GF(3)$  and  $GF(4)$ . A matroid is **near-regular** if it is representable over  $GF(3)$  and  $GF(8)$ .

## Theorem (Oxley, Vertigan, and Whittle; 1998)

Except for rank 3, both the maximum-sized rank- $r$  near-regular matroid and the maximum-sized rank- $r$   $\sqrt[6]{1}$  matroid are  $T_r^1$ . Both classes have growth-rate function  $\binom{r+2}{2} - 2$ .

# $GF(3) \cap GF(7)$ -matroids

## Theorem

*The maximum-sized rank- $r$  matroid representable over  $GF(3)$  and  $GF(7)$  is  $Q_r(GF(3)^*)$ . This class has growth-rate function  $r^2$ .*

# $\mathbb{P}$ -matrices

## Definition

Let  $\mathbb{P}$  be a subset of a ring that contains  $-1$  and  $0$ . A  $\mathbb{P}$ -**matrix** is a matrix with entries from  $\mathbb{P}$  such that every subdeterminant is in  $\mathbb{P}$ .

## Example

The subset  $\{-1, 0, 1\}$  of  $\mathbb{Z}$  defines the regular matroids.

## Definition

Let  $A$  be a  $\mathbb{P}$ -matrix. A subset of  $k$  columns is independent if it contains a  $k \times k$  subdeterminant that is non-zero. This gives rise to a  $\mathbb{P}$ -**representable** matroid.

## Definition

The **golden-mean** set is  $\mathbb{G} = \{\pm\tau^i \mid i \in \mathbb{Z}\} \cup \{0\}$  where  $\tau$  is the positive root of  $x^2 - x - 1$ , also known as the golden ratio.

## Theorem (Semple, Vertigan, Pendavingh, Van Zwam)

*Let  $M$  be a matroid. The following are equivalent:*

- (i)  $M$  is representable over both  $GF(4)$  and  $GF(5)$ ;*
- (ii)  $M$  is golden-mean;*
- (iii)  $M$  is representable over  $GF(p)$  for all primes  $p$  such that  $p = 5$  or  $p \equiv \pm 1 \pmod{5}$ , and also over  $GF(p^2)$  for all primes  $p$ .*

# Golden Mean Determinants

$$\begin{bmatrix} 1 & 0 & 0 & 1 & 1 & 0 & 0 & 1 & 1 & 1 & 1 \\ 0 & 1 & 0 & 1 & \tau & 1 & 1 & 0 & 0 & \tau & \tau^2 \\ 0 & 0 & 1 & 1 & \tau^2 & 1 & \tau & -\tau & 1 & 1 & \tau^2 \end{bmatrix}$$

- In this matrix, every non-zero subdeterminant is in the set  $\{\pm\tau^i \mid i \in \mathbb{Z}\}$ .
- For example,  $\begin{vmatrix} \tau & \tau \\ \tau^2 & 1 \end{vmatrix} = \tau - \tau^3 = \tau(1 - \tau^2) = \tau(-\tau) = -\tau^2$ .



# Archer's Conjecture

The conjecture we have been trying to prove is due to Archer.

## Conjecture

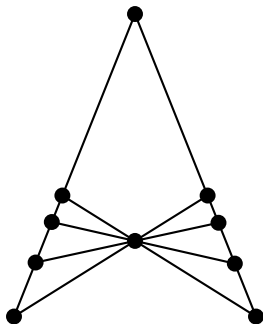
*The growth-rate function for the class of golden-mean matroids  $\mathcal{G}$  is*

$$h_{\mathcal{G}}(r) = \begin{cases} \binom{r+3}{2} - 5 & \text{if } r \neq 3; \\ 11 & \text{if } r = 3. \end{cases}$$

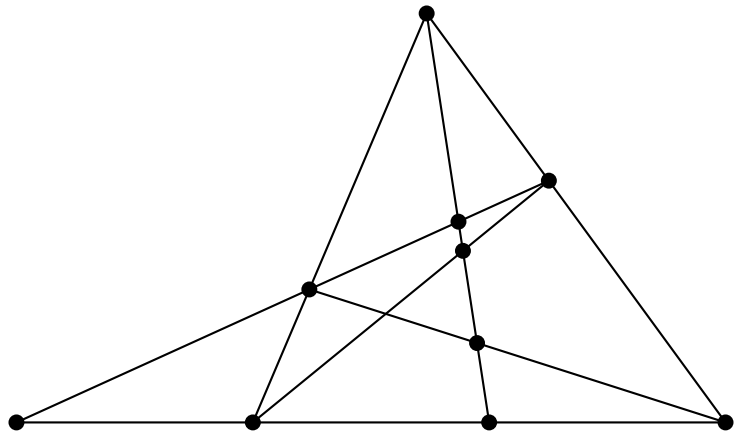
*Furthermore,  $M \in \mathcal{G}$  is maximum-sized if and only if  $M$  is isomorphic to a member of  $\mathcal{G}_{r(M)}$  when  $r(M) \neq 3$ , or  $M$  is isomorphic to the Betsy Ross when  $r(M) = 3$ .*

The set  $\mathcal{G}_r$  contains three matroids –  $T_3^2$ ,  $G_3$ , and  $HP_3$ .

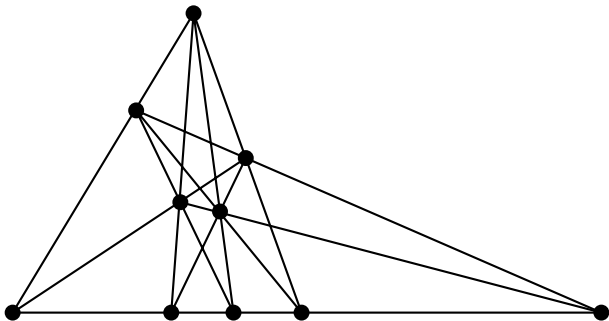
$T_3^2$



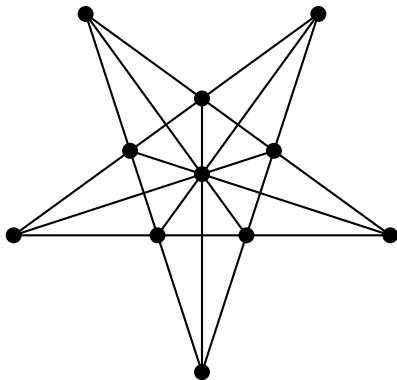
$G_3$



$HP_3$



# Betsy Ross ( $B_{11}$ )



The Betsy Ross matroid, or  $B_{11}$ .

# Removing elements from matroids

- There are two ways to remove an element from a matroid  $M$  – ***deletion*** and ***contraction***.
- Deletion is when we simply remove the element from  $M$ .
- Contraction is more complex, but can be thought of as projection.

# Two Mayhew-Welsh Theorems

Recall that matroids that are representable over  $GF(2)$  and  $GF(3)$  are regular matroids, while matroids that are representable over  $GF(3)$  and  $GF(8)$  are near-regular matroids.

## Theorem

*Let  $\mathcal{R}$  be the class of golden-mean matroids with an element  $e$  such that, upon contracting  $e$ , we obtain a regular matroid. Archer's Conjecture is true in  $\mathcal{R}$ .*

## Theorem

*Let  $\mathcal{N}$  be the class of golden-mean matroids with an element  $e$  such that, upon contracting  $e$ , we obtain a near-regular matroid. Archer's Conjecture is true in  $\mathcal{N}$ .*

## Definition

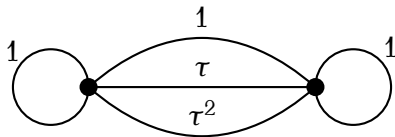
A matroid  $M$  is  $\mathbb{P}$ -**graphic** if there exists a  $\mathbb{P}$ -matrix  $A$  with at most two non-zero entries per column such that  $M$  is represented over  $\mathbb{P}$  by  $A$ .

We can scale  $A$  so that the first non-zero entry in each column is one.

Note that this naturally gives rise to a (directed) graph with edge labels from  $\mathbb{P}$ .

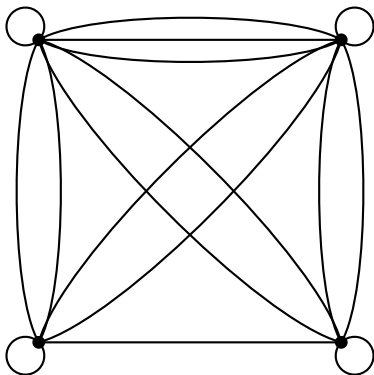
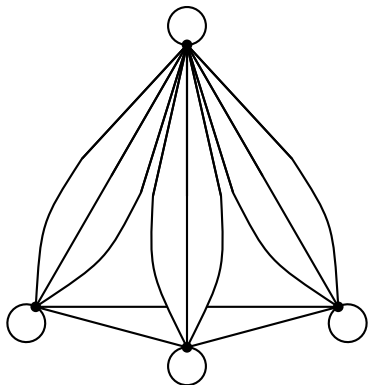


# Example



$$\begin{bmatrix} 1 & 0 & 1 & 1 & 1 \\ 0 & 1 & 1 & \tau & \tau^2 \end{bmatrix}$$

# The $\mathbb{G}$ -graphic families



These graphs are directed and have edge weights. However, we are only concerned with their structure, so ignore these.

# Another Mayhew-Welsh Theorem

## Theorem

*Let  $\mathcal{T}$  be the class of golden-mean graphic matroids.  
Archer's Conjecture is true in  $\mathcal{T}$ .*

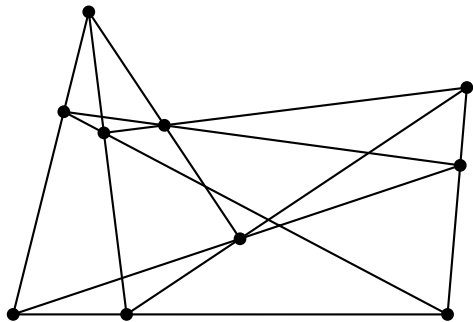
# Clique

A **clique** is a matroid that can be represented by a matrix of the form

$$[I_k | D_k]$$

where  $D_k$  is the  $k \times \binom{k}{2}$  matrix whose columns consist of all  $k$ -tuples with two non-zero entries, with the first being 1 and the second being  $-1$ .

# Clique Example



$$\begin{bmatrix} 1 & 0 & 0 & 0 & 1 & 1 & 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & -1 & 0 & 0 & 1 & 1 & 0 \\ 0 & 0 & 1 & 0 & 0 & -1 & 0 & -1 & 0 & 1 \\ 0 & 0 & 0 & 1 & 0 & 0 & -1 & 0 & -1 & -1 \end{bmatrix}$$

# Final Mayhew-Welsh Theorem

A matroid  $M$  has a spanning clique if it is possible to obtain a clique by only deleting elements of  $M$ .

## Theorem

*Let  $\mathcal{G}$  be the class of golden-mean matroids with a spanning clique. Archer's Conjecture holds in  $\mathcal{G}$ .*

It is anticipated that this theorem will lead to a proof of Archer's Conjecture for matroids of sufficiently large rank.